boundary effect theory utilized in this work.

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Molecular Parameters of Polymers Obtained from the Gibbs-DiMarzio Theory of Glass Formation

Ivan Havlíček,*¹a Vladimír Vojta,¹b Michal Ilavský,¹a and Jaroslav Hrouz¹a

Institute of Macromolecular Chemistry, Czechoslovak Academy of Sciences, 162 06 Prague 6, Czechoslovakia, and Chemopetrol, Trojská 13, 180 00 Prague 8, Czechoslovakia. Received September 21, 1979

ABSTRACT: A numerical method of calculation of molecular parameters of the two-state Gibbs-DiMarzio (GD) model of glass formation using data on 15 polymers is presented. Experimental values of the glass transition temperature $T_{\rm g}$, the change in the isobaric heat capacity $\Delta C_{\rm p}$, the change in the temperature volume expansion coefficient $\Delta \alpha$, and the hypothetical equilibrium transition temperature T_2 (obtained from viscoelastic data) were used to calculate the GD parameters: flex energy ϵ , hole energy E_h , and coordination number z. It was found that the energies ϵ and $E_{\rm h}$ increase with $T_{\rm g}$ of the polymer approximately linearly and do not differ too much from each other. The coordination number z first decreases with increasing $T_{\rm g}$ and then assumes a value of $z\approx 4.9$, thus supporting the favored theoretical value z=4. The fractional free volume at T_2 , $V_0(T_2)$, increases slightly with increasing T_g , while $V_0(T_g)$ increases less markedly, thus indicating that the free-volume concept of glass transition and the condition and target for the volume concept of glass transition and the conditional entropy equals zero at T_2 can both be valid simultaneously. The configurational entropy $S_c(T_g)$ and also the product $T_gS_c(T_g)$ decrease with increasing T_g . Theory predicts a rise in $\Delta\alpha(T)$ and a drop in $\Delta C_p(T)$ with increasing temperature, in accordance with the experiment. The Prigogine-Defay ratio determined by a combination of experimental and calculated thermodynamic quantitites at $T_{\rm g}$ is higher than unity for all polymers, which suggests that the glass transition is a freezing-in process controlled by more than one order parameter.

Much attention has been devoted in the literature to theoretical and experimental problems of transition of the polymeric liquid into amorphous glass. This process is a kinetic one, as indicated by the dependence on the rate of cooling of both the glass transition temperature $T_{\rm g}$ and the state of glass thus obtained. The Gibbs–DiMarzio statistical-mechanical theory (GD)²⁻⁴ describes a case of hypothetical, infinitely slow cooling, during which a second-order transition should occur between the equilibrium liquid and equilibrium glass at a temperature T_2 situated approximately 50 K below the usually measured $T_{\rm g}$. Oels and Rehage⁵ criticize this concept and argue that glass transition is a freezing-in process and remains such also at T_2 . Nose⁶ chose a procedure which was somewhat different from GD and described glass transition on the basis of the hole theory of liquids. Tanaka⁷ suggested an alternative partition function in order to demonstrate the effect of polymer conformations on the glass transition temperature.

The GD theory takes into account specific features of polymers, thus allowing one to express thermodynamic quantities as a function of the limited number of molecular parameters, namely, of flex energy ϵ , hole energy $E_{\rm h}$, coordination number z, degree of polymerization x, and number of rotatable groups per monomer unit n_r . Due to its compact form and comparatively simple mathematical relations, the GD theory is suited for comparison with experimental data. So far, comparisons have always been carried out by the use of simplified assumptions. Usually, it was assumed that T_g equals T_2 and z = 4, and the calculations were performed with the following experimental data being known: (i) the change in the temperature volume expansion coefficient $\Delta\alpha$ (ref 3 and 8–10), (ii) the dependence of $T_{\rm g}$ on the molecular weight (ref 11–14), and (iii) changes in the heat capacity at constant pressure $\Delta C_{\rm p}$ and the isothermal compressibility of the polymer $\Delta\beta$ (ref

The assumptions just outlined allow one to reduce the number of looked-for parameters of the theory usually to one or two, that is, flex energy ϵ and hole energy $E_{\rm h}$. Conclusions following from these comparisons were then affected by initial assumptions: for instance, the fractional free volume at $T_{\rm g},~V_{\rm 0g},$ yielded a universal value $V_{\rm 0g} \approx$ 0.036, and it was found that $\epsilon/k = E_h/k$ (ref 8). In another analysis the assumed validity of the Simha-Boyer empirical relation $\Delta \alpha T_{\rm g} = {\rm constant}$ and $T_{\rm g} \approx T_2 + 30{-}50~{\rm K}$ gave $V_{\rm 0g} = 0.025$, $E_{\rm h}/kT_2$ in the range 5–6, and ϵ/kT_2 in the range 2.1-4, depending on z (in the range z = 4-12). The dependence of T_2 on z, on the type of lattice, and on the flex energy ϵ in the case of one infinite molecule was investigated by Gordon et al.,17 who came to the conclusion that Huggins' lattice model of the polymer solution approximates the number of possible arrangements of the molecule on the lattice more adequately than does the

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Flory–Huggins model. One of the consequences of assumptions z=4 and $T_2=T_{\rm g}$ is the fact that for a number of polymers given in ref 8 the $\Delta C_{\rm p}$ values calculated from the GD theory reach 75% of the experimental $\Delta C_{\rm p}$ on the average.

In this paper the GD theory is compared with experimental data on 15 polymers without limiting assumptions, and the moleular parameters z, ϵ , and $E_{\rm h}$ are calculated with the use of the known values of $\Delta C_{\rm p}$, $\Delta \alpha$, $T_{\rm g}$, and $T_{\rm 2}$.

Gibbs-DiMarzio Theory

In the statistical-mechanical GD theory, $^{2-4}$ the polymer chain is divided into segments, or "rotatable" groups of atoms, each of which occupies one site of the lattice. The number of possible arrangements of n_x polymer molecules with x segments and n_0 empty sites was determined by the theory of polymer solutions. Each way of filling up the lattice is weighted by the total energy of the system, composed of inter- and intramolecular contributions. The intermolecular energy of the system is given by the number of segment-segment contacts and represents cohesion energy. The intramolecular contribution is based on an assumption that the rotational potential of each rotatable group involves one minimum having zero energy and (z-2) minima having energy ϵ . z is at the same time the coordination number of the lattice.

These assumptions allowed DiMarzio et al.⁴ to construct the isobaric–isothermal partition function of the system of polymer molecules and to obtain the Gibbs free energy as a function of two internal parameters, V_0 and f. For the configurational entropy of the system $S_{\rm c}(T)$ related to one mole of monomer units for $T > T_2$ it follows that

$$S_{c}(T) = n_{r}R \left\{ -\frac{V_{0}}{V_{x}} \ln S_{0} + \frac{z-2}{2V_{x}} \ln \frac{V_{0}}{S_{0}} - \frac{\ln S_{x}}{x} + \frac{1}{x} \ln \left(\left[(z/2 - 1)x + 1 \right] (z - 1) \right) + \frac{x-3}{x} \left[\frac{f\epsilon}{kT} - \ln (1 - f) \right] \right\}$$
(1)

In eq 1, k is the Boltzmann constant, R is the gas constant, T is the absolute temperature, n_r is the number of rotatable groups per monomer unit, $V_0 = n_0/(xn_x + n_0)$, $V_x = 1 - V_0$, $S_0 = zn_0/([(z-2)x+2]n_x + zn_0)$ and $S_x = 1 - S_0$. The dependence of the internal parameter $V_0(T)$ is given by the implicit equation

$$\ln \left(V_0^{z/2-1} / S_0^{z/2} \right) - E_h S_r^2 / kT = 0 \tag{2}$$

in which E_h is the hole energy. Another internal parameter, the fraction of all bonds situated in a position with higher energy, f, depends on temperature explicitly

$$f = [(z - 2) \exp(-\epsilon/kT)]/[1 + (z - 2) \exp(-\epsilon/kT)]$$
 (3)

 T_2 is defined as a temperature at which the number of possible configurations of polymer molecules decreases and the configurational entropy in eq 1, $S_c(T_2) \equiv 0$. The internal parameters V_0 and f do not vary with temperature below T_2 , i.e., for $T \leq T_2$, $V_0(T) \equiv V_0(T_2)$ and $f(T) \equiv f(T_2)$. For the difference $\Delta \alpha(T)$ between the temperature

For the difference $\Delta \alpha(T)$ between the temperature volume expansion coefficients of the equilibrium liquid $\alpha_1(T)$ and the glass α_g it follows

$$\Delta\alpha(T) \approx \alpha_{\rm l}(T) - \alpha_{\rm g}(T_2) = \frac{V_0 E_{\rm h} S_x^2 / k T^2}{z S_x / 2 - 2 E_{\rm h} S_x^2 S_0 / k T - (z - 2) V_x / 2}$$
(4)

Similarly, the change in heat capacity $\Delta C_p(T)$ at constant pressure related to 1 mol of monomer units is given by

$$\Delta C_{\rm n}(T) = n_{\rm r} R \{ \Delta \alpha E_{\rm h} S_{\rm r}^2 / V_{\rm r} k + (\epsilon / kT)^2 f (1 - f) \}$$
 (5)

The change in the molar heat capacity at constant volume $\Delta C_{\rm v}(T)$ has the form

$$\Delta C_{v}(T) = n_{r}R(\epsilon/kT)^{2}f(1-f)$$
 (6)

For the isothermal compressibility $\beta_l(T)$ of the liquid it holds

$$\beta_{\rm l}(T) = \frac{V_0 C / kT}{z S_{\rm x} / 2 - 2E_{\rm h} S_{\rm x}^2 S_0 / kT - (z/2 - 1) V_{\rm x}} \tag{7}$$

where C is the volume of the unit cell of the lattice, $C = V/(xn_x + n_0)$ (V is the total volume). For the isothermal compressibility of glass $\beta_{\rm g}$ it holds that

$$\beta_{\rm g} = \beta_{\rm l}(T_2) \Delta C_{\rm v}(T_2) / \Delta C_{\rm p}(T_2) \tag{8}$$

The difference in compressibilities in eq 7 and 8 may be expressed by an alternative relation

$$\Delta\beta(T) = T_2 V_2 [\Delta\alpha(T_2)]^2 / \Delta C_p(T_2) \tag{9}$$

Results

Relations 4–6 and 9 hold for differences in the thermodynamic quantitites of the liquid at $T(>T_2)$ and of glass formed at T_2 . Experimentally available, however, are values of the $\Delta\alpha$, $\Delta C_{\rm p}$, and $\Delta\beta$ at $T_{\rm g}$; their utilization in eq 4–6 and 9 requires that the parameters f and n_0 should freeze at $T_{\rm g}$ and do not change for $T \leq T_{\rm g}$ any more, i.e., $n_0(T) \equiv n_0(T_{\rm g})$ and $f(T) \equiv f(T_{\rm g})$.

For a quantitative solution of the GD model three molecular parameters, z, $E_{\rm h}$, and ϵ , are needed, which means that the calculation calls for three experimental quantities. Those quantities readily available in the literature are two, namely, $\Delta C_{\rm p}$ and $\Delta \alpha$ measured at $T_{\rm g}$. The values of $\Delta \beta$ are known only for a few polymers or are subjected to a comparatively large experimental error. In our calculations the third quantity was T_2 , which, though not experimentally available, can be estimated either from calorimetric or from viscosity or viscoelastic measurements. For physical reasons $T_{2,\mathrm{cal}}$ and $T_{2,\mathrm{vis}}$ ought to be identical, and this really is so in most cases.¹⁸ On the other hand, however, Miller¹⁹ believes that these temperatures may differ for polymers possessing a bulky side group. The extrapolation method²⁰ of obtaining $T_{2,\mathrm{cal}}$ of an amorphous material by means of its crystalline modification is not convincing. For this reason, our calculations were performed with the use of $T_{2,vis}$. The method of calculation of $T_{2,vis}$ from reported viscoelastic data on many polymeric systems and based on the theory of Adam and Gibbs²¹ has been described in detail elsewhere.²²

The system of two equations, namely, eq 1 at T_2 in the form $S_c(T_2)=0$ and eq 5 at T_g , was numerically solved for an unknown z and ϵ with the use of Rosenbrock's minimization method. The values of V_{02} (for T_2) and V_{0g} (for T_g) needed for the solution were calculated together with the unknown E_h by solving simultaneously eq 2 and 4. Hence, calculation of the molecular parameters z, ϵ , and E_h required the knowledge of experimental values of $\Delta\alpha$, ΔC_p , T_g , and T_2 . The hole energy E_h was regarded as temperature independent. The number of rotatable groups in the monomer unit, n_r , was that of bonds about which rotation is possible with the exclusion of bonds of end atoms and of rotationally symmetrical groups. This n_r differs in some cases from n_r reported by O'Reilly. Since all 15 polymers (input data given in Table I) had a high molecular weight, the limit $x \to \infty$ was used in eq 1–9.

The calculated molecular parameters z, ϵ/k , and E_h/k are given in Table II. The internal parameters V_0 and f determined at T_g and T_2 are tabulated in Table III. For

Table I Experimental Data Used as Input Data for the Calculation of Parameters of the Gibbs-DiMarzio Model

| polymer | abbreviation | T_{g} , a K | T_2 , a K | $^{\Delta C_{ m p}}, \ { m J/(K\ mol)}$ | $\Delta \alpha 10^4$, K^{-1} | $V_{ m g}10^{ m 3},$ m $^{ m 3}/{ m kg}$ | $n_{\mathbf{r}}$ |
|---|----------------------------------|-----------------------------|----------------|---|---------------------------------|--|------------------|
| poly(dimethylsiloxane) | PDMS | 150 | 81 | 22.3^{b} | 5.52^{h} | 0.905^{h} | 2 |
| polybutadiene | PBD | 180 | 112 | 33.4^{c} | 4.4^i | 1.025^{s} | 3 |
| natural rubber | NR | 200 | 143 | 31.3^d | 3.75^{j} | 1.040^{j} | 3 |
| polyisobutylene | PIB | 205 | 128 | 22.6^{d} | 3.75^{h} | 1.078^{h} | 2 |
| poly(2-hydroxyethoxyethyl methacrylate) | PHEOEMA | 283 | 231 | 62.7^{e} | 2.7^{p} | 0.789^{p} | 9 |
| selenium | SE | 303^{k} | 259^{k} | 14.7^{c} | 2.88 | 0.23 | 1 |
| poly(vinyl acetate) | PVAC | 305 | 257 | 41.8^{d} | 4.26^{l} | 0.844^{l} | 4 |
| poly(ethyl methacrylate) | PEMA | 335 | 267 | 29.6^{e} | 2.95^{m} | 0.900^{m} | 5 |
| poly(ethylene terephthalate) | PET | 340 | 277 | 64.5^{d} | 3.51^{n} | 0.771^{n} | 6 |
| poly(vinyl chloride) | PVC | 350 | 296 | 18.6^d | 2.93^{o} | 0.733^{o} | 2 |
| poly(2-hydroxyethyl methacrylate) | PHEMA | 370 | 322 | 36.4^e | 2.2^p | 0.793^p | 6 |
| polystyrene | PS | 373 | 325 | 33.5^{f} | 3.12^{f} | 0.9725^{f} | 3 |
| poly(methyl methacrylate) | PMMA | 388 | 305 | 34.2^{d} | 3.1^{r} | 0.864^{r} | $\overline{4}$ |
| polycarbonate of bisphenol A | PCA | 416 | 366 | 63.5^{d} | 3.9^{n} | 0.89^{q} | 6 |
| $poly(\alpha-methylstyrene)$ | $\mathbf{P}_{\alpha}\mathbf{MS}$ | 445 | 395 | 37.5^{g} | 3.98^{g} | 0.9584^{g} | 3 |

^a T_g and T₂ values were taken from ref 22. ^b R. F. Boyer, J. Macromol. Sci., Phys., 7, 487 (1973). ^c B. Wunderlich, J. Phys. Chem., 64, 1052 (1960). ^d B. Wunderlich and L. D. Jones, J. Macromol. Sci., Phys., 3, 67 (1969). ^e J. Biroš, private communication. ^f S. Ichihara, A. Komatsu, Y. Tsujida, T. Nose, and T. Hata, Polym. J., 2, 530 (1971). ^g S. Ichihara, A. Komatsu, and T. Hata, Polym. J., 2, 650 (1971). ^h S. C. Sharma, L. Mandelkern, and F. C. Stehling, J. Polym. Sci., Polym. Lett. Ed., 10, 345 (1972). ⁱ S. M. Aharoni, Polym. Prepr., Am. Chem. Soc., Div. Polym. Chem., 14, 334 (1973). ^j N. Bek-dahl, J. Res. Natl. Bur. Stand., 13, 411 (1934). ^h A. Eisenberg and L. Teter, J. Am. Chem. Soc., 87, 2108 (1965). ^l A. J. Kovacs, J. Polym. Sci., 30, 131 (1958). ^m S. S. Rogers and L. Mandelkern, J. Phys. Chem., 61, 985 (1957). ⁿ K. H. Hellwege, J. Hennig, and W. Knappe, Kolloid Z. Z. Polym., 186, 29 (1962). ^o K. H. Hellwege, W. Knappe, and P. Lehmann, Kolloid Z. Z. Polym., 183, 110 (1962). ^p M. Ilayský and J. Hasa, Collect. Czech. Chem. Commun., 33, 2142 (1968). Kolloid Z. Z. Polym., 183, 110 (1962). ^p M. Ilavský and J. Hasa, Collect. Czech. Chem. Commun., 33, 2142 (1968). ^q Reference 13. ^r J. C. Wittman and A. J. Kovacs, J. Polym. Sci., Part C, 16, 4443 (1969). ^s V. E. Lucas, P. H. Johnson, L. B. Wakefield, and B. L. Johnson, Ind. Eng. Chem., 41, 1629 (1949).

Table II Molecular Parameters of Polymers Calculated with the Use of the GD Theory

| polymer | z | ϵ/k , K | ϵ/k , K | ϵ/k , bK | $E_{\rm h}/k$, K | $E_{\rm h}/k$, a K | $E_{\rm h}/k$, c K | $E_{\rm h}/k$, d K |
|-------------------------------|------|------------------|------------------|-------------------|-------------------|---------------------|--------------------------|---------------------|
| PDMS | 13.5 | 321 | 326 | 250 | 420 | 388 | 494 | |
| PBD | 9.19 | 383 | 421 | | 507 | 446 | 554 | 327 |
| NR | 6.84 | 426 | 438 | 462 | 567 | 496 | 647 | 468 |
| PIB | 9.33 | 441 | 439 | 455 | 584 | 521 | 619 | 503 |
| PHEOEMA | 4.29 | 508 | | | 767 | | | |
| \mathbf{Se} | 8.00 | 854 | | | 819 | | | |
| PVAC | 5.35 | 694 | 683 | 532 | 695 | 698 | 852 | |
| PEMA | 3.82 | 529 | 737 | | 820 | 852 | 963 | |
| PET | 5.72 | 772 | 775 | 667 | 803 | | 1000 | |
| PVC | 4.97 | 751 | 795 | 595 | 867 | 838 | 941 | 554 |
| PHEMA | 3.76 | 631 | | | 965 | | 0 1 2 | 331 |
| PS | 5.66 | 907 | 839 | 842 | 889 | 876 | 1041 | 755 |
| PMMA | 4.82 | 753 | 851 | 660 | 904 | 881 | 1024 | , 5 0 |
| PCA | 5.08 | 984 | 950 | 764 | 871 | | 933 | |
| $\mathbf{P}\alpha\mathbf{MS}$ | 5.90 | 1172 | | 950 | 905 | | 1162 | |

 $a \in /k$ and E_h/k values from ref 8. $b \in /k$ values from ref 15. c Average E_h/k values from: ref 25; W. Wrasidlo, Adv. Polym. Sci., 13, 1 (1974); R. Becker, Plaste. Kautsch., 25, 1 (1978). $^{d}E_{h}/k$ values from ref 26.

Table III Internal Parameters of Polymers Calculated with the Use of the GD Theory

| | | | • | | |
|---------------|----------|---------------------|-------------------|-------|---------|
| polymer | V_{oz} | $V_{{	t o} {	t g}}$ | $V_{\circ g}{}^a$ | f_2 | f_{g} |
| PDMS | 0.00194 | 0.0249 | 0.0250 | 0.180 | 0.575 |
| PBD | 0.00367 | 0.0236 | 0.0335 | 0.190 | 0.461 |
| NR | 0.00624 | 0.0221 | 0.0255 | 0.197 | 0.366 |
| PIB | 0.00354 | 0.0227 | 0.0290 | 0.190 | 0.461 |
| PHEOEMA | 0.0110 | 0.0224 | | 0.203 | 0.275 |
| \mathbf{SE} | 0.0156 | 0.0256 | | 0.182 | 0.264 |
| PVAC | 0.0243 | 0.0416 | 0.0365 | 0.183 | 0.256 |
| PEMA | 0.0138 | 0.0298 | 0.0276 | 0.200 | 0.272 |
| PET | 0.0197 | 0.0379 | | 0.186 | 0.278 |
| PVC | 0.0184 | 0.0317 | 0.0340 | 0.191 | 0.258 |
| PHEMA | 0.0149 | 0.0239 | | 0.199 | 0.242 |
| PS | 0.0237 | 0.0368 | 0.0345 | 0.183 | 0.243 |
| PMMA | 0.0173 | 0.0378 | 0.0355 | 0.192 | 0.288 |
| PCA | 0.0361 | 0.0528 | | 0.173 | 0.225 |
| $P\alpha MS$ | 0.0418 | 0.0590 | | 0.167 | 0.219 |
| | | | | | |

^a Values from ref 8.

comparison, both tables also contain data obtained under various simplifying conditions mentioned in the introduction. The comparison shows that the value least sensitive to the method of calculation is hole energy $E_{\rm h}/k$. The parameter ϵ/k already exhibits major differences: while values obtained by O'Reilly¹⁵ are usually lower than ours (probably owing to the assumption z = 4), those given by Eisenberg and Saito⁸ are higher in most cases than our values (probably as a result of the assumption $S_c(T_g) = 0$). The largest differences between our values and those determined earlier may be seen for the free volume V_{0g} , which however may be due not only to the simplifying assumptions but in some cases also to the small differences between the input data of $\Delta \alpha$.

The stability of calculation, i.e., the effect of the experimental scatter in input quantitites on the magnitude of calculated parameters, was tested on data for polystyrene by gradually changing the value of a single input 360 Havlicek et al. Macromolecules

Table IV Stability of Calculation with Respect to the Scatter in the Input Data (Calculated for Polystyrene)

| | | cha | nge in | calculat | ed data | , % | | | | | |
|--|-------------------------------|----------------|-------------------|---------------|-------------|----------------------|------------------|--|--|--|--|
| change in input data | z | ε/k, Κ | $E_{\rm h}/k$, K | V_{02} | $V_{	t og}$ | f_2 | $f_{\mathbf{g}}$ | | | | |
| $T_{g} \pm 10 \text{ K}$ $T_{2} \pm 10 \text{ K}$ $\Delta C_{p} \pm 10\%$ $\Delta \alpha \pm 10\%$ | ±1.5 =2.4 ±10.0 ±1.3 | ± 2.5 ± 6.0 | | 75.5 ±10.0 | ± 3.0 | ∓1.1 ±1.1 ±1.6 | ±5.7 ∓7.0 | | | | |

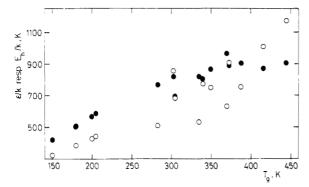


Figure 1. Dependence of molecular parameters of the GD theory, the flex energy of the chain (O) and the hole formation energy (\bullet) on the glass transition temperature $T_{\rm g}$ of different polymers.

quantity each time ($T_{\rm g}$ and $T_{\rm 2}$ by ± 10 K, $\Delta C_{\rm p}$ and $\Delta \alpha$ by ± 10 %). It follows from Table IV that the most sensitive output parameter is the volume $V_{\rm 0}$ (to $\Delta \alpha$) and that together with $f_{\rm g}$ it also depends on $T_{\rm 2}$. It can be seen, in spite of this, that both the output values of molecular parameters z, ϵ/k , and $E_{\rm h}/k$ and the internal parameters $V_{\rm 0}$ and f are sufficiently stable to scatter in the input data.

Discussion

A. Molecular and Internal Parameters of the GD Theory. Although many polymers have several rotational degrees of freedom with different energetic minima, the present form of the GD model employs flex energy ϵ/k , which may be regarded as the average difference of minima. A quantitative comparison of ϵ/k with experimental results obtained by the spectral method (energy difference between the gauche and trans minimum) leads to agreement within an order of magnitude. For instance, the ϵ/k of liquid n-alkanes lies within the interval 250–400 K (cf. ref 24); with respect to our results, this interval includes polymers with a low $T_{\rm g}$ (PDMS, PIB, NR, PBD, cf. Table II). With increasing $T_{\rm g}$ the ϵ/k values increase as expected, in accordance with the results of Eisenberg and Saito.

Interaction between chemically not bound segments is characterized in the GD theory by the so-called hole energy $E_{\rm h}$, which corresponds to the formation of an empty site in the quasi-lattice without interruption of any chemical bond. The hole formation energy appears in a number of theoretical approaches to glass transition, such as the free volume theory of Kanig²⁵ and the theory of Hirai and Eyring. The $E_{\rm h}/k$ values provided by these approaches are summarized in Table II. Even though $E_{\rm h}/k$ calculated with the use of Kanig's theory lies systematically above $E_{\rm h}/k$ calculated by us and $E_{\rm h}/k$ of the theory of Hirai and Eyring lies below our values, the differences are not large and cannot be regarded as very important. The increase in the hole formation energy with $T_{\rm g}$ (Figure 1) is similar to the increase in ϵ , but the agreement between ϵ and $E_{\rm h}$ is not as perfect as in the case of Eisenberg and Saito⁸ (the $E_{\rm h}$ values are somewhat higher than ϵ).

 $E_{\rm h}$ values are somewhat higher than ϵ). For polymers with $T_{\rm g} > 250$ K (with the exception of Se), the coordination numbers (Figure 2) are nearly con-

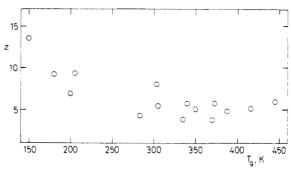


Figure 2. Coordination numbers calculated with the use of the GD theory as a function of the glass transition temperature $T_{\rm g}$ of different polymers.

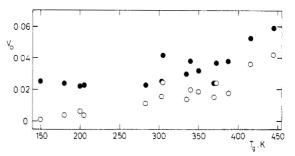


Figure 3. Dependence of free volume $V_{0\mathbf{g}}$ at $T_{\mathbf{g}}\left(\bullet\right)$ and V_{02} at $T_{2}\left(\circ\right)$ on the glass transition temperature $T_{\mathbf{g}}$ of different polymers.

stant, having an average value of 4.9, in agreement with the value of 4 expected for the case of a threefold rotational potential. Four polymers with $T_{\rm g} < 250~{\rm K}$ reach higher z values, which can be understood rather from the viewpoint of the closest packing of spheres (e.g., for the cubic face-centered system z=12). For selenium is it possible to compare the number of the closest neighbors of amorphous selenium calculated from the GD theory and of crystalline selenium. Se crystallizes in the trigonal system and has six nearest neighbors and three nextnearest neighbors which are situated only a little further away than the first six. Hence, z=8 found for polymeric Se is in good agreement with the structural order of crystalline Se.

With the exception of $P\alpha MS$ and PCA the fractional free volume V_{0g} (Figure 3) differs only a little for different polymers (increasing slightly with T_g), which seems to justify the concept of glass transition as an iso-free-volume process. The average $V_{0g} = 0.029$ agrees comparatively well with the value 0.025 following from the free-volume interpretation of the Williams-Landel-Ferry equation.²⁷ On the other hand, the V_{02} increases with increasing T_g , thus indicating the dependence of V_{02} on the polymer structure. Opposite dependences on T_g are exhibited by the other internal parameter, namely, the bond fraction f in the higher energy position. At T_2 , f_2 remains almost constant with the mean value 0.189; this fact indicates universal topological conditions reflected in the same degree of chain coiling at T_2 . On the contrary, the decrease in values f_g with the increasing T_g of polymers is due to an increase in flex energy ϵ and thus to an increase in chain rigidity.

B. Thermodynamic Functions. The form of eq 5 predicts a rise in $\Delta C_{\rm p}(T)$ with decreasing temperature and therefore $\Delta C_{\rm p2} \equiv \Delta C_{\rm p}(T_2)$ (Table V) are higher than experimental $\Delta C_{\rm p} \equiv \Delta C_{\rm pg}$ values (Tables I). Such a prediction is in agreement with the experience that the dependence of $C_{\rm p}$ on T exhibits a higher slope in the glassy than in the liquid state. For the temperature dependence of $\Delta C_{\rm p}$, Angell and Sichina²⁹ suggested the empirical relation

$$\Delta C_{\rm p}(T) = {\rm constant}/T$$
 (10)

Table V Specific Heat and Configurational Entropy of Polymers

| polymer | ${_{\Delta}C_{ m Vg}},^a_{ m J/(mol~K)}$ | $\Delta C_{\mathrm{Vg}},^{b}$ $\mathrm{J/(mol\ K)}$ | $\Delta C_{\mathrm{p}_2},^c$ $\mathrm{J/(mol\ K)}$ | $\Delta C_{ m pg} T_{ m g}/T_{ m 2},^d$ $ m J/(mol~K)$ | S_{cg}^{e} J/ (mol K) | $\Delta C_{ m pg} \ln \ (T_{ m g}/T_{ m 2}),^d \ { m J/(mol~K)}$ | $\Delta C_{ m pg}[(T_{ m g}/T_{ m g})-1],^d$ $J/({ m mol}\ { m K})$ | $T_{ m g}S_{ m cg}, \ { m J/(mol~K)}$ |
|--------------------------------|--|---|--|--|-------------------------|--|---|---------------------------------------|
| PDMS | 18.8 | 18.0 | 39.34 | 41.30 | 20.9 | 13.7 | 19.00 | 3135 |
| PBD | 28.1 | | 46.92 | 53.68 | 20.1 | 15.8 | 20.28 | 3618 |
| NR | 26.3 | 24.0 | 37.70 | 43.78 | 11.9 | 10.5 | 12.48 | 2380 |
| PIB | 19.1 | 12.3 | 31.59 | 36.20 | 13.5 | 10.6 | 13.60 | 2768 |
| PHEOEMA | 48.1 | | 68.53 | 76.81 | 13.3 | 12.7 | 14.11 | 3764 |
| \mathbf{SE} | 12.8 | | 14.87 | 17.20 | 2.34 | 2.31 | 2.50 | 709 |
| PVAC | 32.8 | 19.0 | 43.33 | 49.61 | 7.38 | 7.16 | 7.81 | 2254 |
| PEMA | 20.5 | | 32.30 | 37.14 | 7.03 | 6.72 | 7.54 | 2358 |
| \mathbf{PET} | 51.6 | 43.1 | 68.23 | 79.17 | 13.8 | 13.2 | 14.67 | 4692 |
| PVC | 14.7 | 9.4 | 19.49 | 21.99 | 3.8 | 3.12 | 3.39 | 1113 |
| PHEMA | 26.6 | | 38.13 | 41.83 | 5.18 | 5.06 | 5.43 | 1917 |
| PS | 27.1 | 25.0 | 34.29 | 38.45 | 4.75 | 4.67 | 4.95 | 1772 |
| PMMA | 25.7 | 19.0 | 37.30 | 43.51 | 8.65 | 8.23 | 9.31 | 3356 |
| PCA | 48.7 | 15.2 | 64.22 | 72.17 | 8.21 | 8.13 | 8.67 | 3412 |
| $\mathbf{P}\alpha \mathbf{MS}$ | 29.6 | 24.0 | 37.38 | 42.25 | 4.50 | 4.47 | 4.75 | 2003 |

 a $\Delta C_{\rm Vg}$ calculated with the use of eq 6. b $\Delta C_{\rm Vg}$ from ref 13. c $\Delta C_{\rm pg}$ calculated from eq 5. d $\Delta C_{\rm pg}$ is the experimentally measured $\Delta C_{\rm p}$ value. e $S_{\rm cg}$ calculated with the use of eq 1.

Table VI Calculated and Experimental Isothermal Compressibilities of Polymers

| | ····· | F | | | | | |
|----------------|--|--------------------------------------|--|--|---------------------------------------|---|----------------|
| polymer | $\Delta \alpha(T_2)10^4, \ \mathrm{K}$ | $eta_l(T_{ m g})10^{ m 5},^a m MPa$ | β _{l(exptl)} 10 ⁵ , MPa | $^{\beta}_{ m g}(T_{ m g})10^{ m 5},^{b}_{ m MPa}$ | $ \beta_{g(\text{exptl})} 10^5, $ MPa | $(T_{2}V_{2}\alpha_{l2}{}^{2}/C_{pl_{2}})10^{5},^{c}$ MPa | $r_{ m g}{}^d$ |
| PDMS | 1.27 | 82 | 60 ^g | 9.5 | | 0.22 | 5.30 |
| PBD | 1.55 | 36 | | 7.2 | | 0.32 | 4.99 |
| NR | 1.86 | 39 | 51^{j} | 12.3 | | 0.92 | 4.20 |
| PIB | 1.32 | 50 | 40^h | 10 | | 0.41 | 5.20 |
| PHEOEMA | 1.81 | 19 | | 8.7 | | 1.5 | 2.28 |
| SE | 2.18 | 23 | | 14 | | 1.3 | 2.90 |
| PVAC | 3.32 | 45 | 50^i | 23 | 29^i | 4.7 | 2.28(2.18) |
| PEMA | 1.88 | 33 | | 12.6 | | 3.0 | 2.02 ` |
| PET | 2.46 | 44 | | 21 | | 3.1 | 2.58 |
| PVC | 2.17 | 35 | 44^{j} | 18 | 24^i | 2.8 | 2.30(2.71) |
| PHEMA | 1.67 | 19 | | 9.6 | | 2.4 | 1.85 ` |
| PS | 2.46 | 58 | 61^e | 32 | 32^e | 5.7 | 2.37(2.64) |
| PMMA | 2.01 | 38 | 58 ^j | 15 | 30^e | 2.9 | 2.44(2.97) |
| PCA | 3.15 | 91 | 55^f | 49 | 30^{f} | 11.9 | 1.96(1.16) |
| $P_{\alpha}MS$ | 3.28 | 100 | 64^e | 57 | 32^e | 12.6 | 2.02(1.51) |

 a β_l values calculated with the use of eq 7. b β_g values calculated with the use of eq 8. c $T_2V_2\alpha_{l2}^2/C_{pl2}$ values calculated with the use of eq 9. d r_g values calculated with the use of eq 12; numbers in brackets calculated with $\Delta\beta_{\rm g, exptl}$. e A. Quach and R. Simha, J. Appl. Phys., 42, 4592 (1971). f Reference 13. g S. Beret and J. M. Prausnitz, Macromolecules, 8, 536 (1975). h H. Singh and A. W. Nolle, J. Appl. Phys., 30, 337 (1959). i J. E. McKinney and M. Goldstein, J. Res. Natl. Bur. Stand., Sect. A, 78, 331 (1974). j P. Heydemann and H. D. Guicking, Kolloid-Z., 193, 16 (1963).

which gives $\Delta C_{\rm p2} = \Delta C_{\rm pg} T_{\rm g}/T_{\rm 2}$. Comparison of $\Delta C_{\rm p2}$ values from eq 10 with the values of the GD theory (Table V) allows us to conclude that eq 10 predicts a stronger dependence of ΔC_p on temperature than eq 5. Table V also gives $\Delta C_{\rm Vg}$ values (for $T_{\rm g}$) calculated from eq 6 together with $\Delta C_{\rm Vg}$ calculated earlier by O'Reilly. The differences in both columns are a consequence of O'Reilly's assumption that z = 4.

In some cases the configurational entropy at $T_{\rm g}, S_{\rm cg}$, was regarded as a quantity controlling glass transition, either directly, i.e., $S_{\rm cg} = {\rm constant}$ (iso-entropical process³⁰), or indirectly, through a constant value of relaxation time at $T_{\rm g}$, $\tau_{\rm g}$, which is expressed by means of the product 21 $T_{\rm g}S_{\rm cg}$ ($\sim 1/\ln \tau_{\rm g}$). Comparison between the $S_{\rm cg}$ and $T_{\rm g}S_{\rm cg}$ of different polymers (Table V), along with $V_{\rm 0g}$ (Table III), shows that the free volume at $T_{\rm g}$ fulfills with the property in the condition of expression the condition of expression. approximation the condition of constant value. Table V also gives the entropy values

$$S_{\rm cg} = \int_{T_2}^{T_{\rm g}} (\Delta C_{\rm p}/T) \, dT \qquad (11)$$

assuming on the one hand that ΔC_p = constant and on the other the validity of eq 10. By comparing these values with $S_{\rm cg}$ of the GD model, one can see that while $\Delta C_{\rm p}$ = constant leads to agreement between $S_{\rm cg}$ for polymers with a high $T_{\rm g}$, eq 10 provides agreement between $S_{\rm cg}$'s for polymers with a low $T_{\rm g}$.

The excess temperature volume expansion $\Delta \alpha_2$ calculated from eq 4 at T_2 decreases with decreasing temperature $T_{\rm g}$ (Table VI). For low $T_{\rm g}$'s (PDMS, PBD, PIB), the values of $\Delta \alpha_2$ are much lower than the experimental $\Delta \alpha$ (Table I). The product $\alpha_2 T_2$ for different polymers varies more than ten times; for this reason, the Simha-Boyer relation for T_g is not valid at T_2 .

C. Prigogine-Defay Ratio. Equation 9 means that

the Prigogine-Defay ratio³¹

$$r = \Delta C_{\rm p} \Delta \beta / T V(\Delta \alpha)^2 \tag{12}$$

at T_2 is unity. On the other hand, however, according to the parameter concept of glass transition, $^{32-34}$ in the case of more than one parameter (two in the GD theory, i.e., f and n_0 it should hold r > 1. This controversy has been discussed in the literature especially from the viewpoint of behavior of the order parameters below the $T_2(P)$ line.35,36 The equilibrium GD theory can formally be employed also in calculations of r_g at T_g . At this temperature, the order parameters freeze in, and they do not minimize the Gibbs free energy of glass; the transition is no longer an equilibrium one and can be regarded as a freezing-in transition. This view is also corroborated by the Prigogine-Defay $r_{\rm g}$ ratios as given in Table VI. In all cases $r_{\rm g}$ > 1, especially for polymers with a lower $T_{\rm g}$. This agrees well with findings described by other authors 30,37 and supports the view that glass transition is a freezing-in process controlled by more than one independent order parameter.

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Molecular Interpretation of the Glass Transition Temperature of Polymer–Diluent Systems

T. S. Chow

J. C. Wilson Center of Technology, Xerox Corporation, Webster, New York 14580. Received July 27, 1979

ABSTRACT: An explicit expression based on both classical and statistical thermodynamics has been derived for predicting the glass transition temperature of polymer-diluent mixtures. The result is expressed in terms of the molecular weight, size, and concentration of the diluent, the number of lattice sites, the monomer molecular weight, and the transition isobaric specific heat increment. The prediction of the theory is in good agreement with published experimental data and, therefore, can be used for molecular engineering.

The depression of the glass transition temperature (T_g) by plasticizers and its composition dependence in compatible polymer blends have been known for some time.^{1,2} There has, however, been strong interest recently³⁻⁷ in deriving predictive expressions for $T_{\rm g}$ in terms of fundamental compositional parameters. Empirical equations based on data fitting, relations from the free volume hypothesis and from the point of view of classical thermodynamics, have been reported. However, there has been no effort to interpreted T_g by using statistical mechanics since the work of DiMarzio and Gibbs. 12 Their work does not predict $T_{\rm g}$ explicitly, and the present work complements and extends their development by deriving an explicit expression for $T_{\rm g}$ of polymer–diluent systems.

In the study of an order-disorder transition, the Bragg-Williams approximation¹³ has been known for its simplicity and success in displaying essential features of the phenomenon. In this paper, the method is adopted in the determination of the configurational partition

function. The glass transition temperature is related to nondimensional parameters which are a function of the molecular weight and concentration of diluent, number of lattice sites, monomer molecular weight, and transition isobaric heat capacity increment of the polymer. The theoretical equation is then compared with published experimental data.

Derivation

The glassy state represents a situation of frozen-in disorder which is in a state of quasiequilibrium, and the glass transition has been explained by using both equilibrium thermodynamics and kinetic theories. Theoretical treatments of the glass transition have been either purely equilibrium^{14,15} or purely kinetic¹⁶ in nature. In this paper, our approach to the problem is an equilibrium one. First, we consider the discontinuity conditions which occur at the glass transition temperature. A relation expressing the glass transition temperature (T_g) of a polymer-diluent